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<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)</b>  <input type="checkbox"/> Declaration Submitted with Initial Filing      OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Attorney Docket Number	BASE 100,100
	First Named Inventor	Kakefuda
	COMPLETE IF KNOWN	
	Application Number	60 / 214,705
	Filing Date	6/27/00
	Group Art Unit	
	Examiner Name	

As a below named inventor, I hereby declare that:  
My residence, mailing address, and citizenship are as stated below next to my name.  
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought in the invention entitled:

Cyanobacterial Nucleic Acid Fragments Encoding Proteins Useful  
for Controlling Plant Traits via Nuclear or Plastome Transformation

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 06/27/2001 as United States Application Number or PCT International

Application Number  and was amended on (MM/DD/YYYY)  (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(h) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

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PTO/SB/01 (03-01)  
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## DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input type="checkbox"/>		Customer Number or Bar Code Label	<input type="checkbox"/>		OR <input checked="" type="checkbox"/> Correspondence address below
Name <u>Ostrager Chong &amp; Flaherty LLP</u>					
Address <u>825 Third Avenue</u>					
City <u>New York</u>		State <u>N.Y.</u>		ZIP <u>10022-7519</u>	
Country <u>U.S.A.</u>		Telephone <u>212-826-6565</u>		Fax <u>212-826-5909</u>	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR: <input type="checkbox"/>				A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) <u>Conichi</u>			Family Name or Surname <u>Kakefuda</u>		
Inventor's Signature				Date	
Residence: City <u>Chapel Hill</u>		State <u>N.C.</u>		Country <u>U.S.A.</u> Citizenship <u>U.S.A.</u>	
Mailing Address <u>203 Zapata Lane</u>					
City <u>Chapel Hill</u>		State <u>N.C.</u>		ZIP <u>27514</u> Country <u>U.S.A.</u>	
NAME OF SECOND INVENTOR: <input type="checkbox"/>				A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) <u>Hans-Ulrich</u>			Family Name or Surname <u>Koop</u>		
Inventor's Signature <u>Hans-Ulrich Koop</u>				Date <u>Oct. 18<sup>th</sup> 2001</u>	
Residence: City <u>München</u>		State		Country <u>Germany</u> Citizenship <u>Germany</u>	
Mailing Address <u>Gerastrasse 11a D-80993 München</u>					
City <u>München</u>		State		ZIP <u>80993</u> Country <u>Germany</u>	
<input checked="" type="checkbox"/> Additional inventors are being named on the _____ Supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					



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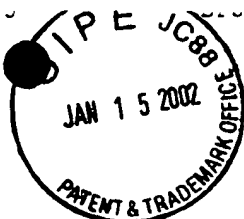
<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet Page of
--------------------	--

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Stephen		Sturmer	
Inventor's Signature		Date	
Residence: City	Newtown	State	PA
Country	USA	Citizenship	USA
Mailing Address 250 High Street			
Mailing Address			
City	Newtown	State	PA
ZIP	18940	Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Rui-Guang		Zhao	
Inventor's Signature		Date	
Residence: City	Morrisville	State	NC
Country	USA	Citizenship	USA
Mailing Address 1226 Spring Garden Drive			
Mailing Address			
City	Morrisville	State	NC
ZIP	27560	Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City		State	
Country		Citizenship	
Mailing Address			
Mailing Address			
City		State	
ZIP		Country	

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Address <u>825 Third Avenue</u>					
City <u>New York</u>		State <u>N.Y.</u>		ZIP <u>10022-7519</u>	
Country <u>U.S.A.</u>		Telephone <u>212-826-6565</u>		Fax <u>212-826-5909</u>	
<small>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</small>					
NAME OF SOLE OR FIRST INVENTOR: <input type="checkbox"/>			A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any]) <u>Genichi</u>			Family Name or Surname <u>Kakefuda</u>		
Inventor's Signature <u>Genichi Kakefuda</u>			Date <u>9/26/01</u>		
Residence: City <u>Chapel Hill</u>		State <u>N.C.</u>		Country <u>U.S.A.</u> Citizenship <u>U.S.A.</u>	
Mailing Address <u>203 Zapata Lane</u>					
City <u>Chapel Hill</u>		State <u>N.C.</u>		ZIP <u>27514</u> Country <u>U.S.A.</u>	
NAME OF SECOND INVENTOR: <input type="checkbox"/>			A petition has been filed for this unsigned inventor		
Given Name (first and middle [if any]) <u>Hans</u>			Family Name or Surname <u>Ulrich-Koop</u>		
Inventor's Signature			Date		
Residence: City <u>Munchen</u>		State		Country <u>Germany</u> Citizenship <u>Germany</u>	
Mailing Address <u>Gertrasse 11a, D 800 Munchen 50</u>					
City <u>Munchen</u>		State		ZIP Country <u>Germany</u>	
<input checked="" type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					



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P10/SH/02A (11-00)

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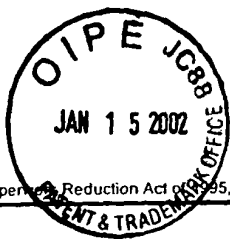
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## DECLARATION

ADDITIONAL INVENTOR(S)  
Supplemental Sheet  
Page \_\_\_ of \_\_\_

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Stephen		Sturner	
Inventor's Signature		Date	
Residence: City Newtown	State PA	Country USA	Citizenship USA
Mailing Address 250 High Street			
Mailing Address			
City Newtown	State PA	ZIP 18940	Country USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Rui-Guang		Zhen	
Inventor's Signature		Date	
Residence: City Morrisville	State NC	Country USA	Citizenship USA
Mailing Address 1226 Spring Garden Drive			
Mailing Address			
City Morrisville	State NC	ZIP 27560	Country USA
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Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country

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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☐ Declaration Submitted with Initial Filing      OR      ☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number    BASF 100,100

First Named Inventor    Kakefuda

**COMPLETE IF KNOWN**

Application Number    60 / 214,705

Filing Date    6/27/00

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

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for Controlling Plant Traits via Nuclear or Plasmid Transformation

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)    06/27/2001    as United States Application Number or PCT International

Application Number       and was amended on (MM/DD/YYYY)       (if applicable).

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Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

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Direct all correspondence to: ☐ Customer Number or Bar Code Label  OR ☒ Correspondence address below

Name Ostrager Chong & Flaherty LLP

Address 825 Third Avenue

City New York

State N.Y.

ZIP 10022-7519

Country U.S.A.

Telephone 212-826-6565

Fax 212-826-5909

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR :

☐ A petition has been filed for this unsigned inventor

Given Name  
(first and middle [if any]) Genichi

Family Name  
or Surname Kakefuda

Inventor's  
Signature

Date

Residence: City Chapel Hill

State N.C.

Country U.S.A.

Citizenship U.S.A.

Mailing Address 203 Zapata Lane

City Chapel Hill

State N.C.

ZIP 27514

Country U.S.A.

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name  
(first and middle [if any]) Hans

Family Name  
or Surname Ulrich-Koop

Inventor's  
Signature

Date

Residence: City Munchen

State

Country Germany

Citizenship Germany

Mailing Address Gertrasse 11a, D 800 Munchen 50

City Munchen

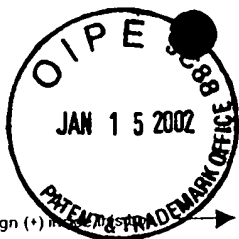
State

ZIP

Country Germany

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

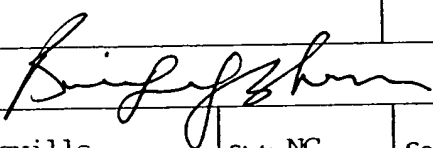
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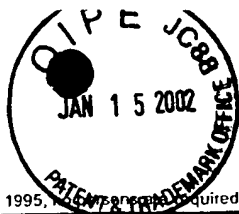
## ADDITIONAL INVENTOR(S)

Supplemental Sheet

Page \_\_\_ of \_\_\_

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Stephen		Sturner	
Inventor's Signature		Date	
Residence: City Newtown	State PA	Country USA	Citizenship USA
Mailing Address 250 High Street			
Mailing Address			
City Newtown	State PA	ZIP 18940	Country USA
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Given Name (first and middle [if any])		Family Name or Surname	
Rui-Guang		Zhen	
Inventor's Signature 		Date 9/20/2001	
Residence: City Morrisville	State NC	Country USA	Citizenship USA
Mailing Address 1226 Spring Garden Drive			
Mailing Address			
City Morrisville	State NC	ZIP 27560	Country USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
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Mailing Address			
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First Named Inventor Kakefuda

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Filing Date 6/27/00

Group Art Unit

Examiner Name

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				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventorGiven Name  
(first and middle [if any]) **Genichi**Family Name  
or Surname **Kakefuda**Inventor's  
Signature

Date

Residence: City **Chapel Hill**State **N.C.**Country **U.S.A.**Citizenship **U.S.A.**Mailing Address **203 Zapata Lane**City **Chapel Hill**State **N.C.**ZIP **27514**Country **U.S.A.**

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventorGiven Name  
(first and middle [if any]) **Hans**Family Name  
or Surname **Ulrich-Koop**Inventor's  
Signature

Date

Residence: City **Munchen**

State

Country **Germany**Citizenship **Germany**Mailing Address **Gertrasse 11a, D 800 Munchen 50**City **Munchen**

State

ZIP

Country **Germany**☒ Additional inventors are being named on the \_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.



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Inventor's Signature <i>Stephen F. Sturner</i>		Date 9/23/01	
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Rui-Guang		Zhen	
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Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country

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